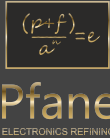


Downstream Vendor Survey



R2 Supplier Compliance Requirements

Question	Response	Comments
1. Does the facility verify import and export compliance?	YES NO	
2. Does the facility maintain any negative value items in inventory?	YES NO	
3. Does the facility have a commitment to the prevention of pollution?	YES NO	R4 Circular
4. Does the facility regulate hazardous waste?	YES NO	DO NOT ACCEPT
5. Have there been any environmental regulatory violations, fines, citations, corrective actions or remediation against the facility?	YES, please attach explanation NO	As Per Questionnaire Sheet
6. Does the facility maintain a legal compliance plan? Does this plan comply with all environmental and H&S regulations, and maintain a current list of permits?	YES, please attach evidence NO	Does Not Apply
7. If smelting or incineration of data bearing devices – Can the facility provide a record of final destruction?	YES, please attach evidence NO	Does Not Apply
8. If performing data sanitization: A. Has the facility been audited by a competent auditor who is independent from the facility's management?	YES, please attach evidence NO	Does Not Apply
B. Has this person successfully completed a SERI approved data sanitization training with maintenance through annual refresher training?	YES, please attach evidence NO	Does Not Apply
9. If performing Test & Repair, Refurbishing: A. Has the facility been audited by a competent auditor who is independent from the facility's management?	YES, please attach evidence NO	Does Not Apply
B. Has this person successfully completed a SERI approved data sanitization training with maintenance through annual refresher training?	YES, please attach evidence NO	Does Not Apply
10. Does the facility demonstrate knowledge of data security best practices and data sanitization processes?	YES, please attach evidence NO	Does Not Apply
11. Does the facility have knowledge of management system auditing?	YES, please attach evidence NO	Does Not Apply
12. Does the facility maintain adequate records of shipping and receiving of negative value materials/components?	YES NO	Detailed to the Gram
13. Does the facility possess any negative value equipment or components for greater than 1 year in inventory?	YES NO	"negative value" converted to BRICKS